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Kathy Ashton

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August 22, 2006

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/662,218  
Applicant(s) : Juergen PENSEL et al.  
Filed : September 12, 2003  
Title: : Ophthalmic Surgical Microscope With A Subject Illumination System  
TC/A.U. : 3735  
Examiner : Theodore C. Shih  
  
Docket No. : 033997.00089

Mail Stop Amendment  
Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT

Applicants wish to make of record the references listed on attached Form PTO/SB/08 under the provisions of 37 C.F.R. §§ 1.56 and 1.97(c)(1).

A best available copy of the lone foreign patent document, German Patent Publication No. DE 43 26 761 A1 (reference no. 3), is enclosed. This reference is not in the English language; therefore, a copy of its counterpart U.S. Patent No. 5,619,372 is also enclosed.

**Statement Under 37 CFR 1.97(e):** Each item of information contained in this Information Disclosure Statement was first cited in a Search Report dated July 26, 2006 from the German Patent Office in a counterpart German application, which is not more than three months prior to the filing of this Information Disclosure Statement.

Appl. No. 10/662,218  
Information Disclosure Statement  
Page 2 of 2

The person making this statement is the patent attorney who signs below on the basis of the information supplied by the inventors, and as the attorney associated with the filing and prosecution of this application (37 CFR 1.56(a)).

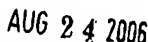
Respectfully submitted,

By: 

George L. Snyder, Jr.  
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GLS/  
Enclosures

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Sheet	1	of	1
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**Complete if Known**

Application Number	10/662,218
Filing Date	September 12, 2003
First Named Inventor	Juergen PENSEL et al.
Art Unit	3735
Examiner Name	Theodore C. Shih
Attorney Docket Number	033997.00089

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	3	DE-4326761-A1	02-16-1995	Fa. Carl Zeiss		✓

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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